

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

|                       |                               |                 |                  |
|-----------------------|-------------------------------|-----------------|------------------|
| In re Application of: | Stuart Lee Breslow, et al.    | Group Art Unit: | 2768             |
| Serial No.:           | 09/476,448                    | Examiner:       | Not yet assigned |
| Filed:                | December 30, 1999             | Docket No.      | 4797-34          |
| For:                  | <b>ON-LINE TRADING SYSTEM</b> |                 |                  |

55 Madison Avenue  
Morristown, NJ 07960  
September 28, 2001

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Assistant Commissioner for Patents  
Washington, D.C. 20231

**POWER OF ATTORNEY BY ASSIGNEE OF ENTIRE INTEREST**

Sir:

UBS PaineWebber Inc., a Delaware corporation, as assignee of record of the entire interest of the above patent application, U.S. Serial No. 09/476,448, hereby revokes all power of attorney previously given.

The following attorneys are hereby appointed with full power of substitution and revocation to prosecute and transact all business in the Patent and Trademark Office connected therewith: Leslie Gladstone Restaino, Reg. No. 38,893; Seth H. Ostrow, Reg. No. 37,410; Frank J. DeRosa, Reg. No. 26,543 and Ralph F. Hoppin, Reg. No. 38,494 of the firm Brown Raysman Millstein Felder & Steiner, LLP, 55 Madison Avenue, Suite 400, Morristown, New Jersey 07960, (973) 285-3222.


Direct all correspondence to:

Leslie Gladstone Restaino, Esq.  
Brown Raysman Millstein Felder & Steiner LLP  
55 Madison Avenue  
Morristown, NJ 07960

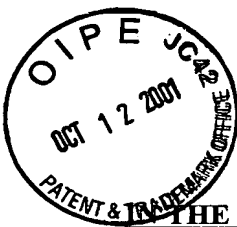
Direct all telephone calls to (973) 285-3242.

The assignment of U.S. Serial No. 09/476,448 to PaineWebber Incorporated was recorded in the U.S. Patent and Trademark Office on April 24, 2000 at Reel 010787, Frame 0832.

Attached to this power of attorney is a Statement under 37 C.F.R. §3.73.

  
\_\_\_\_\_  
John J. Burke, Esq.  
Associate General Counsel  
UBS PaineWebber Inc.

9/28/01  
\_\_\_\_\_  
Date



**THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of: Stuart Lee Breslow, et al. Group Art Unit: 2768  
Serial No.: 09/476,448 Examiner: Not yet assigned  
Filed: December 30, 1999 Docket No. 4797-34  
For: **ON-LINE TRADING SYSTEM**

55 Madison Avenue  
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Assistant Commissioner for Patents  
Box Assignments  
Washington, D.C. 20231

**STATEMENT UNDER 37 C.F.R. §3.73(b)**

Sir or Madam:

UBS PaineWebber, a Delaware corporation, states that it is:

1. [ ☒ ] the assignee of the entire right, title, and interest; or
2. [ ☐ ] an assignee of an undivided part interest in the above-identified patent application by virtue of either:
  - A. [ ☐ ] an assignment from the inventor(s) of the patent application identified above. The assignment was recorded in the Patent and Trademark Office at Reel , Frame , or for which a copy thereof is attached.

OR

- B. [ ☐ ] a chain of title from the inventor(s), of the patent application identified above, to the current assignee as shown below:

1. From: to:  
The document was recorded in the Patent and Trademark Office at Reel , Frame , or for which a copy thereof is attached.

2. From: to:  
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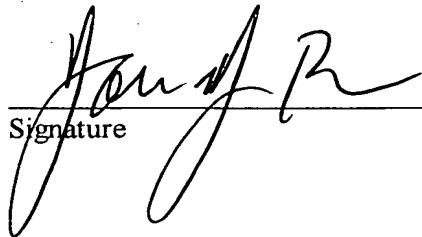
[ ] Additional documents in the chain of title are listed on a supplemental sheet.

[ ☒ ] Copies of assignments or other documents in the chain of title are attached.

The above-mentioned assignee hereby further states that it has all rights to take action in this matter.

The undersigned (whose title is supplied below) is empowered to sign this statement on behalf of the assignee.

Date: 9/10/01

  
Signature

John J. Burke  
Name

Associate General Counsel  
Title